

CAN FULL OUTLINE OF UNRESPONSIVENESS SCORE (FOUR) REPLACE GLASGOW COMA SCALE (GCS) IN HEAD INJURY?: VALIDATION AT TERTIARY CARE CENTRE IN NEPAL

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ABSTRACT

INTRODUCTION

Traumatic brain injury (TBI) is one of the major cause of severe disability and death world wide. The mortality rate in these patients largely depends on initial severity of trauma. In TBI, initial level of consciousness is most important prognostic indicator. The commonest scale is the Glasgow Coma Scale (GCS). Despite its widespread use, the GCS has some significant limitations, including variations in inter rater reliability and predictive validity. In order to overcome deficiencies of the GCS, an alternative scale called FOUR (Full Outline of Unresponsiveness score) has been developed and validated in several neurosurgical centers in North America. This study was an attempt to validate this score in Nepalese Setting. This study was carried out in the Department of Neurosurgery at Tribhuvan University Teaching Hospital, Kathmandu, Nepal. The main objective of the study was to compare the FOUR with GCS in predicting outcome in patients with Traumatic brain injury.

MATERIAL AND METHODS

Patients with moderate to severe head injury aged ≥ 16 years admitted in the Department of Neurosurgery were eligible to participate in the study. The GCS and FOUR score were measured at the earliest possible time during admission by the single observer. Glasgow Outcome Scale (GOS) was measured at discharge and at 3 months follow up. Mortality was used as the primary outcome measure.

RESULTS

Total of 122 patients were included in the study. The mean age of the study population was 38.7 ± 18 years. Mean GCS score among survivors was higher than that among non-survivors which was statistically significant (10.9 ± 2 vs. 6 ± 1.12 ($p < 0.001$)). Similarly mean FOUR score among survivors was significantly higher than that among non-survivors (12.8 ± 2.49 vs. 6.08 ± 1.72 ($p < 0.001$)). The cut off point for GCS and FOUR score were ≤ 7 and ≤ 8 respectively. The area under ROC curve for GCS for prediction of mortality was 0.975 (95%CI; 0.947-1.000; $p < 0.001$) and for FOUR score was 0.981 (95% CI; 0.960-1.000; $p < 0.001$) suggesting good discrimination ability of both models. The overall sensitivity, specificity, positive predictive value and negative predictive values of GCS were 91.67%, 91.82%, 55% and 99% respectively while that for FOUR score were 100%, 91.82%, 57.1% and 100% respectively.

CONCLUSION

The outcome measurement of FOUR score was comparable with the GCS in traumatic brain injury and both the scores correlated well.

KEYWORDS Traumatic brain injury, prognostic score score, Glasgow Coma Scale.

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INTRODUCTION

Traumatic brain injury (TBI) is the leading cause of death and disability worldwide. In the US alone, this type of injury causes 290,000 hospital admissions, 51,000 deaths, and 80,000 permanently disabled survivors¹. Overall, 12% patients with TBI have severe disability and death². Initial determination of injury in patients with TBI helps develop the basic guide to determine the outcome of trauma and treatment programs³. Considering the high mortality due to TBI as well as high costs of inpatient and long-term treatments, outcome prediction has long been a concern.⁴ Knowledge of medically-accepted diagnostic criteria and reliance on validated behavioral assessment scales are crucial for establishing accurate diagnosis, prognostic and management decisions (including end-of-life).

There is no objective measure of coma like temperature or blood pressure. Thus, for the assessment of the level of consciousness one has to rely on clinical scores. In recognition of these problems, various scoring scales have been proposed and validated. For examples, GCS (Glasgow Coma Scale)⁵, RAPS (The Rapid Acute Physiology Score)⁶, REMS (Rapid Emergency Medicine Score)⁷, APACHE (Acute Physiology and Chronic Health Evaluation)⁸, IHSS (In House Score System)⁹, and so forth.

An ideal scoring system for evaluating coma should be:¹⁰

1. Easy to administer
2. Applicable to the greatest number of patients
3. Able to accurately assess level of consciousness,
4. Able to predict morbidity and mortality.

The most widely used coma scale is GCS, first described by Teasdale and Jennett in 1974⁵. The GCS was initially intended to assess level of consciousness after TBI in a neurosurgical intensive care unit⁵. The GCS has been broadly accepted as an instrument to classify the severity of TBI. It has become the gold standard against newer scales. GCS score ranges from 3-5 and has three components. Despite its widespread use, the GCS has some significant limitations, including variations in inter-rater reliability and predictive validity¹¹. Other shortcomings of the GCS are inability to test verbal component in intubated patients, failure to grade breathing pattern and brainstem reflexes, and inability to detect subtle change in neurological examination. In order to overcome deficiencies of the GCS, an alternative scale was introduced by Wijdick *et al.* called FOUR (Full Outline of Unresponsiveness) score in the Mayo clinic¹². This consists of four components (eye response, motor response, brainstem reflexes, and respiration), as well as the maximum score of each component. The FOUR Score is a 16-point scale (with

potential scores ranging from 0 to 16). The FOUR score provides more neurological details; it identifies different stages of brain herniation; it facilitates the detection of locked-in syndrome and the vegetative state; and it does not include verbal response and thus may have a higher prognostic value for intubated patients. It not only includes the determination of eye opening, but also evaluates blinking and tracking of eyes. It has a broad spectrum of motor responses. It also considers the presence of abnormal breath rhythms and respiratory drive. Cheyne Stokes respiration and irregular breathing can represent bi-hemispheric or lower brainstem dysfunction of respiratory control. In intubated patients, over breathing the mechanical ventilator represents functioning respiratory centers. It doesn't have verbal component, so can be measured in intubated patients and those with aphasia, aphonia and vocal cord dysfunction/injury. It has been shown to have good inter-rater agreement. It also identifies vegetative versus minimally conscious states: Contrary to patients in vegetative/unresponsive state, those in minimally conscious state retain some capacity for cognitive, emotional, and pain processing¹³. A score of 0 on the FOUR assumes the absence of brainstem reflexes and breathing and, therefore, helps to diagnose brain death. FOUR score is applicable for both traumatic¹ and non-traumatic brain injury¹⁴. Construct and face validity of the scale have been established among neurologists,¹⁴ nurses,¹⁵ medical intensive care unit¹⁶, and emergency department staff¹⁷.

MATERIAL AND METHODS

This was a quantitative prospective observational study conducted at Department of Neurosurgery, Tribhuvan University Teaching Hospital, Institute of Medicine, Kathmandu, Nepal. All the patients aged more than 16 years of age with diagnosis of moderate to severe head injury were included in the present study over the period of 1 year from October 2015 to September 2016. Exclusion criteria was post cardiopulmonary resuscitation (CPR) cases with return of spontaneous circulation (ROSC), with 'Do Not Resuscitate' status, who leave against medical advice, who are transferred to other hospitals.

As per the study protocol, in eligible patients who meet inclusion criteria, (post resuscitation if needed) GCS and FOUR scores was recorded at the time of admission. Each component of both scores was tested independently. If a patient was under sedative and/or neuromuscular blocking agent, the scores was taken at the earliest possible time of spontaneous awakening trial. Patients were managed with the standard head injury protocol and then discharged once they meet the discharge criteria. At the time of discharge the Glasgow Outcome Scale (GOS) scoring was done. All

patients were followed up in 1, 4 and 12 weeks respectively. The GOS at 12 weeks follow up recorded and analyzed and correlated with GCS and FOUR score. No harm was caused because of the study. Every patient had the right to withdraw from the study, at any point of time.

RESULTS

During the study period, 155 patients were admitted with the diagnosis of moderate and severe head injury. Out of which 33 patients were excluded and final study population was 122. These remaining 122 cases constitute the basis for this study as shown in diagram. The age range was 16-82 years and mean age was 38.7 ± 18 . The male population was ninety seven and female population was twenty five with the ratio of male to female 3.88:1. The patients admitted with moderate and severe head injuries were diagnosed as epidural hematoma(EDH), subdural hematoma(SDH), contusion, diffuse axonal injury (DAI), depressed Skull Fracture, traumatic subarachnoid hemorrhage and skull base injury. Mixed patterns were classified as others such as combination of EDH and SDH. The most common mode of injury in this study was road traffic accident followed by fall injury.

Mean GCS was 10.43 ± 2.5 among the study population and distribution of GCS score is as shown. Among them 88 (72.1 %) had moderate severe injury (GCS 9-13) and 34(27.9%) had severe head injury (GCS < 8). Mean of the FOUR score was 12.15 ± 3.15 among the 122 patients. Fifty nine underwent surgical treatment whereas sixty three were managed conservatively. Among them 12 patients died, making a mortality rate of 9.93%. (12/122). Mean Duration of hospital stay was 9.7 ± 8.3 days. 43.4 % (53) needed ICU and mean duration of ICU stay was 2.59 ± 4.94 days. We classified the Glasgow outcome score at discharge as dead, poor and good outcome. There is no significant difference as per age group and outcome. (*p* value 0.186) as shown in table 1.

Table 1. Relation between age and outcome

Age Groups	Outcome			Total
	Dead	Poor Outcome	Good Outcome	
up to 20 Years	2	1	21	24
21-30	2	6	19	27
31-40	2	2	16	20
41-50	2	4	14	20
51-60	2	0	7	9
61-70	0	2	11	13
71-80	2	0	6	8
>81	0	1	0	1
Total	12	16	94	122

At admission, mean FOUR Score and GCS score in the survivors were 12.8 ± 2.49 and 10.9 ± 2 respectively whereas in non-survivors were 6.08 ± 1.72 and 6 ± 1.12 respectively (Table 2) . *p* value was significant in both GCS and FOUR Score groups (*p* < 0.0001).

Table 2. Comparison between FOUR Score and GCS Score in Survivors

		Number of patients	Mean	<i>p</i> value
FOUR Score	Survivors	110	12.8	<0.0001
	Non-Survivors	12	6.08	
GCS Score	Survivors	110	10.9	<0.0001
	Non-Survivors	12	6	

Mean GCS and FOUR scores increased as the GOS at discharge increased which is tabulated in table 3.

Table 3. Relation between GCS, FOUR score and GOS at discharge

GOS at Discharge		GCS	FOUR
Dead	Mean	6	6
	N	12	12
Severe disability:	Mean	7.6	9.13
	N	15	15
Moderate disability	Mean	10.76	12.58
	N	46	46
Good recovery	Mean	12.06	14.16
	N	49	49
Total	Mean	10.42	12.15
	N	122	122

The relation between GCS, FOUR score and GOS at 3 months is as shown in table no 4.

Table 4. Relation between GCS, FOUR score and GOS at 3 months

GOS at 3 months		GCS	FOUR
Dead	Mean	6	6
	N	12	12
Severe disability:	Mean	7.2	8.7
	N	7	7
Moderate disability	Mean	8.09	9.72
	N	11	11
Good recovery	Mean	11.5	13.5
	N	92	92
Total	Mean	10.4	12.1
	N	122	122

Correlation between GCS and FOUR score

The overall correlation between GCS and FOUR score was good, with Spearman's rho correlation coefficient of 0.946 ($p < 0.001$). There was good correlation between GCS and GOS at discharge 0.698 and FOUR score and GOS at discharge 0.698. Also, there was good correlation between GCS and GOS at discharge 0.722 and FOUR score and GOS at 3 months 0.708. (Figure 1)

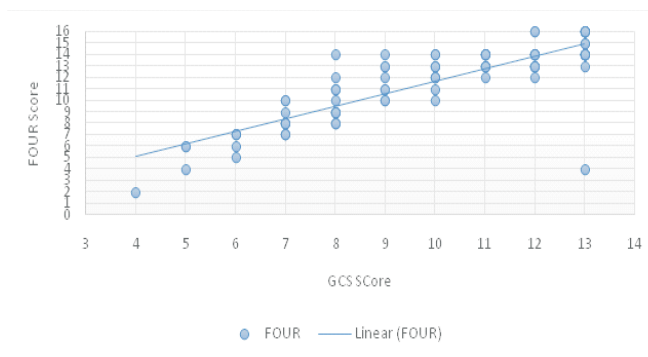


Figure 1. Correlation between GCS and FOUR score

The area under ROC curve for GCS for prediction of good outcome was 0.975 (95% CI; 0.947-1.000; $p < 0.001$) and for FOUR score was 0.905 (95% CI; 0.910-0.990; $p < 0.001$) suggesting good discrimination ability of both models (figure 2)

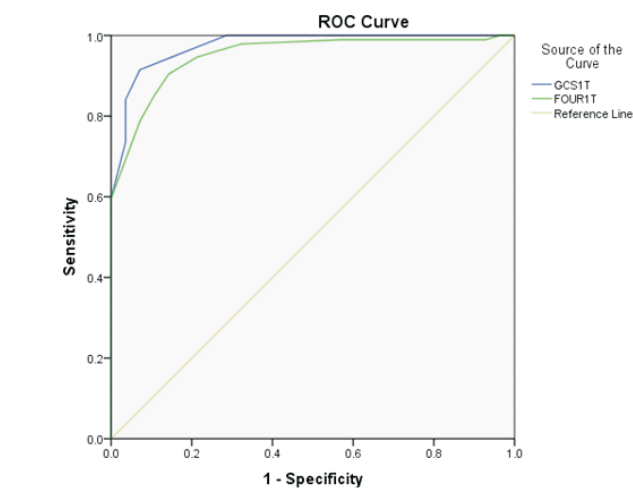


Figure 2. ROC curve for good prognosis

The area under ROC curve for GCS for prediction of mortality as 0.975 (95% CI; 0.947-1.000; $p < 0.001$) and for FOUR score was 0.981 (95% CI; 0.960-1.000; $p < 0.001$) suggesting good discrimination ability of both models (figure 3).

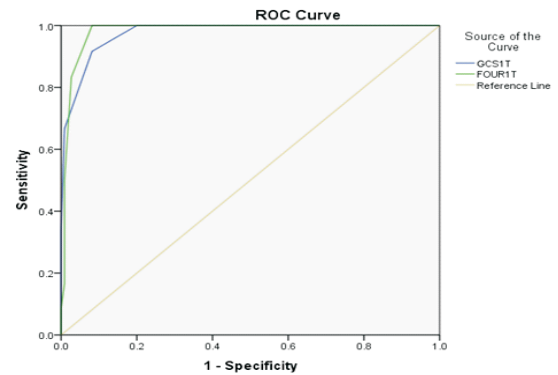


Figure 3. ROC curve for in hospital mortality

The best cut off point for both models is the corresponding models' score with maximum Youden Index (YI) value. At this point of maximum YI value, the best cut off point for GCS and FOUR score were ≤ 7 and ≤ 8 respectively. Of the 102 patients with $GCS > 7$, there were one non-survivors and of the 20 patients with $GCS \leq 7$, there were 11 non-survivors, which was statistically significant ($p < 0.001$) as shown below (table 5 and 6)

Table 5. Comparison of GCS of survivors and non-survivors above and below the cut off point

GCS	Survival	Mortality	Total	p value
≤ 7	9	11	20	< 0.001
> 7	101	1	102	

Of the 21 patients with FOUR score ≤ 8 , there were 12 non-survivors and of the 101 patients with FOUR > 8 , there were zero non-survivors, which was statistically significant ($p < 0.001$) as shown below.

Table 6. FOUR score of survivors and non-survivors above and below cutoff point

FOUR	Survival	Mortality	Total	p value
≤ 8	9	12	21	< 0.001
> 8	101	0	101	

The overall sensitivity, specificity, positive predictive value and negative predictive value of GCS was 91.67%, 91.82%, 55% and 99% respectively while that for FOUR score was 100%, 91.82%, 57.1% and 100% respectively.

DISCUSSION

Defining the level of consciousness is a core clinical skill, which can be a challenge even for experienced physicians. The GCS, defined by Teasdale and Jennett⁵ in 1974, remains the most commonly used scoring system for LOC. Failure to assess the verbal score in intubated patients and the inability to test brainstem reflexes are shortcomings of GCS. In order to overcome deficiencies of the GCS, the FOUR score has been designed to provide further neurological details in coma patients, recognize certain unconscious states, and predict outcome.

Inter rater reliability of both the scores has been studied by various authors. Widjick et al¹² found that the inter-rater reliability of the FOUR score and the GCS were of equivalent magnitude. This was remarkable because the raters had only minimal experience with the FOUR score. Validity of four score scale between trained and untrained evaluators, using the Spearman test, was obtained 0.90 which indicates that this instrument has high reliability between the evaluators. In a study done by Tadrishi et al³¹. Kevric et al¹⁸ also demonstrated that the inter-rater reliability for the FOUR Scale was greater than that of the GCS (FOUR: $\kappa=0.76$, $p<0.01$; GCS: $\kappa=0.59$, $p<0.01$). This study included all the cases admitted with diagnosis of moderate to severe head injury. Minimum age of the patient enrolled was 16 years and maximum age was 82 years with mean of 38.7 ± 18 years. Mcnett et al.³², Okasha et al.¹⁹ did their study on adult patient of traumatic brain injury. On the other hand, Iyer et al,¹⁶ Gujjar et al,³³ Fisher et al³⁴ and Bruno et al²⁶ studied on medical patients whereas Kocaket al.³⁵ studied about the usefulness of FOUR score on acute stroke patient. In the present study, among 122 patients, there were 110 survivors (90.16%). Remaining 12 patients (9.83%) expired in hospital who were labeled non-survivors. Overall mortality observed in this study was 9.83% which were 21% in Widjicks et al¹² study, 7.8% in Sadaka et al¹ study and 10% in Büyükcem et al²⁴ study. Among 110 survivors, 16 (14.5%) had bad outcome and 94 (85.5%) had good/favorable outcome at the hospital discharge. Receiver operating characteristic curves were estimated to compare prediction of in-hospital mortality between the two scales. In this study, the overall predictive performances of both the scores for in-hospital mortality were good with the area under ROC curve for GCS and FOUR scores of 0.975 (95%CI; 0.947-1.000; $p<0.001$) and 0.981 (95% CI; 0.960-1.000; $p<0.001$) respectively.

These findings are comparable with the findings of Widjicks et al¹² study in which AUC was 0.89 for both scores and Sadaka et al¹ study in which the AUC for in-hospital mortality was 0.89 and 0.93 respectively. Similarly the discriminating ability was good and comparative for both the scores in Fatih. et al²⁴ study

(0.965 versus 0.975 for GCS and FOUR) and significantly lower in Eken et al.²⁸ study (AUC 0.735 vs. 0.743 for GCS vs. FOUR). None of these studies showed significant difference which could be seen by analyzing the overlapped 95% CI. However discriminating ability of FOUR score was significantly better in Okasha et al¹⁹ study over the GCS score (AUC 0.850 versus 0.796, $p = 0.025$). The difference in predictive ability of these scores may be due to inclusion of different patient types of population in different studies. The study population in the Bruno²⁶ study included all traumatic and non-traumatic patients with brain injury who had GCS lower than 8 and tools were examined 1 month after injury but in our study examination of tools started in the first 24 hours of study and included only traumatic brain injury patients. Eken and colleagues²⁸ included patients with mild neurologic signs in the normal levels of consciousness which comprised traumatic and non-traumatic samples. They followed patient's mortality for 3 months and poor outcome or 3-6 months. In our study, we recorded outcomes upto 3 months.

In this study, there was a good correlation between GCS and FOUR score, with Spearman's rho correlation coefficient of 0.946 ($p<0.001$). This result was consistent with Widjicks et al¹² study (Spearman's rho=0.92) and Bruno et al²⁶ study (Spearman's rho= 0.81). Moderate correlation is seen between GCS and FOUR with GOS at discharge with spearman's rho of 0.698 and 0.698 respectively. GCS (0.722) showed slightly better correlation with GOS at 3 months than FOUR score(0.698). Fatih et al²⁴ study demonstrated better correlation of FOUR with the outcome (0.512 FOUR versus 0.489 GCS). The best Youden index was used to determine the best cut off point for survivors and non-survivors. Survivors and non-survivors were compared above and below the cutoff points. The best cut off point calculated from Youden index in our study was 7 for the GCS and 8 for FOUR scores. However this result was comparable with Widjicks et al¹² study where the best cut off points were 7 and 9 respectively for GCS and FOUR score and not comparable with Akavipat et al²³ study where the best cut off points were 10 and 14 for GCS and FOUR score respectively. The cut-off values in Eken et al²⁸ study were 5 for the GCS and 9 for the FOUR Score in predicting mortality, but if the hospital mortality was used as the outcome measure; both the cut-off values for the GCS and FOUR Scores were found to be 4. These differences may be as a result of the statistical techniques used in determining the cut-off values and the differences between the types of study populations. Wijdicks et al.¹² used the maximum sum of sensitivity and specificity, which is similar to Youden Index (sensitivity+specificity). However, Eken et al.²⁸ used the positive likelihood ratio to determine the cut-off values.

In this study among 101 patients with FOUR score > 8, 101

were survivors and there was no non-survivor. And among 21 patients with FOUR score ≤ 8 , 12 were non-survivors and 9 were survivors, the difference was statistically significant. Similarly, among 102 patients with GCS >7 , 101 were survivor and 1 was non-survivor. And among 20 patients with GCS ≤ 7 , 11 were non-survivors and 9 were survivors, the difference was statistically significant ($p < 0.001$).

Mean GCS score among survivors was higher than that among non-survivors which was statistically significant (10.9 ± 2 vs. 6 ± 1.12 ($p < 0.001$)). Similarly mean FOUR score among survivors was significantly higher than that among non-survivors (12.8 ± 2.49 vs. 6.08 ± 1.72 ($p < 0.001$)). This result was comparable with results from Jalali et al²⁰ study in which mean GCS scores among survivors and non-survivors were 6.58 ± 2.28 and 4.62 ± 2.094 , respectively and for FOUR score, they were 8.42 ± 2.925 and 4.7 ± 3.471 , respectively. The results of our study was also consistent with the results of the studies by Widjicks et al¹² and Bruno et al²⁶ in which a low total GCS and FOUR scores were associated with poor outcomes. Even though the Modified Rankin Scale or Health-related Quality of Life is more accepted by many clinicians to evaluate outcome of neuro surgical patients but in this study, we discerningly applied the Glasgow Outcome Scale because the objective was focused on the mortality at discharge. Furthermore, we conducted and continued the evaluation until the date of discharge not the 3 month or 6-month mortality, in order to control for possible factors affecting the outcomes, such as physical rehabilitation, alternative treatment, and other modalities.

In this study sensitivity of FOUR score was better than GCS score (100% vs 91.67%) whereas specificity (91.82 vs 91.82%), positive predictive value (55 vs 57.1%) and negative predictive value (99 vs 100%) of GCS and FOUR for prediction of the in-hospital mortality were almost similar. In the study of Wijdicks et al¹², sensitivity, specificity and positive predictive value of GCS was 80%, 80% and 72% respectively while that for FOUR score was 75%, 76% and 72% respectively. Whereas in the study by Jalali et al²⁰ the sensitivity, specificity and positive predictive value of GCS was 68%, 63% and 52% respectively while that for FOUR score was 68%, 77% and 63% respectively. That is FOUR score had better specificity and positive predictive value in terms of in hospital mortality prediction. Similarly, Phuping study²³ also showed better sensitivity, specificity and positive predictive value for GCS but better negative predictive for FOUR score. In addition to in hospital mortality prediction, predictive abilities of this two scores have been compared for other outcomes like endotracheal intubation, length of ICU stay, 30 days mortality and 60 days mortality. Okasha and colleagues¹⁹ studied on the predictive abilities of GCS and

FOUR scores in predicting the need of endotracheal intubation as one of the outcomes of traumatic brain injury. AUC for GCS was higher than AUC for the FOUR score (0.982 vs. 0.961). However, the difference between AUCs was not statistically significant ($p = 0.06$). The optimal score to predict endotracheal intubation was 11 for the FOUR score (sensitivity 79%; specificity 100%) and 8 for the GCS (sensitivity 87%; specificity 100%).

Fatih and colleagues²⁴ demonstrated that in predicting hospitalization of more than three days and poor outcome at discharge and after three months, the total GCS value was better than the total FOUR score, and further, in predicting hospital mortality, the total FOUR score was slightly better than the total GCS, but these differences were not significant. Also spearman correlation between hospitalization duration and GCS and FOUR score was poor, but moderate correlations were observed between both GCS and FOUR score and the GOS score at discharge, GOS score after three months and in-hospital mortality.

CONCLUSION

The FOUR score is as effective as but not superior to GCS in predicting outcomes in the patients with TBI. Further multi center studies involving larger population of various disease categories may be helpful to justify the result of the study.

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